# U.S. Department of Health and Human Services (HHS) Registration of an Institutional Review Board (IRB)

This form is used by institutions or organizations operating IRBs that review:

- a) Research involving human subjects conducted or supported by the Department of Health and Human Services, or other federal departments or agencies that apply the Federal Policy for the Protection of Human Subjects to such research; and/or
- b) Clinical investigations regulated by the Food and Drug Administration (FDA) of the Department of Health and Human Services

# This form is to be used for the following purposes:

- a. To register an IRB if your institution or organization has not previously registered an IRB
- b. To update or renew the registration of an IRB previously registered by your institution or organization
- c. To add another IRB to those previously registered by your institution or organization

Fields with an \* are required for OHRP IRBs and FDA IRBs Fields with an are required for OHRP IRBs but are optional for FDA IRBs Fields with an ‡ are required for FDA IRBs but are optional for OHRP IRBs Fields with no symbol are optional for both OHRP IRBs and FDA IRBs

1. \*Has your institution or organization previously registered an IRB with the Office for Human Research Protections (OHRP)?

[X] Yes, proceed to section 2 [] No, proceed to section 3

# 2. \*What is your institution or organization (IORG) number? IORG0000124

This number was provided by OHRP the first time your institution or organization registered an IRB. If you do not know your IORG number, search for your institution or organization on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777.

# **3.** Name of Institution or Organization Operating the IRB(s)

\*Name of Institution or Organization: Icahn School of Medicine at Mount Sinai

\*Mailing Address: One Gustave L. Levy Place Box 1081 \*Street Address (if different from the Mailing Address above): 150 E. 42nd Street, 10th Floor, Ste. 10B \*City: New York \*State/Province: **NEW YORK** \*Zip/Postal Code: 10029 \*Country (if outside the U.S.): 4. Senior Officer or Head Official of Institution or Organization Responsible for Overseeing the Activities Performed by the IRB(s) \*First Name: **Dennis** Middle Initial: **S** \*Last Name: Charney Title or Position: Dean; President, Academic Affairs, Earned Degree(s): M.D. **MSHS** \*Mailing Address (if different from the Mailing Address in section 3): **One Gustave Levy Place Box 1081 ATTENTION: IRB** \*City: New York \*State/Province: **NEW YORK** \*Zip/Postal Code: 10029 \*Country (if outside the U.S.): \*Phone: 212 241-5674 \*FAX: 212 824-2302 \*E-Mail: dennis.charney@ mssm.edu 5. Contact Person Providing this Registration Information \*Last Name: Sutera \*First Name: Jake Middle Initial: Title or Position: IRB Manager Earned Degree(s): **CIP** Name of Institution or Organization (if different from the Name in section 3): Icahn School of Medicine at Mount Sinai \*Mailing Address (if different from the Mailing Address in section 3): **One Gustave L. Levy Place Box 1081** \*City: New York \*State/Province: **NEW YORK** \*Zip/Postal Code: 10029-6754 \*Country (if outside the \*Phone: 212 824-8200 \*E-Mail: jake.sutera@mssm. \*FAX: 212 876-6789 edu

6. IRB Registration Information (to be completed separately for each IRB being renewed/updated or newly registered)

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00000204

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[ ] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

# Mount Sinai School of Medicine IRB #1 - Board B

C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

# **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

# 150 E. 42nd Street, 10th Floor, Ste. 10B

	*City:New York*State/Province:NEW YORK*Zip/Postal Code:10029-6754*Country (if outside the U.S.):*FAX:212 824-8200*FAX:212 876-6789*E-Mail:jake.sutera@mssm.edu	1				
D.	Approximate number of full time equivalent positions devoted to the IRB's administrative activities:	17				
E.	<ul> <li>E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):</li> </ul>					
F.	Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):	150				

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

360

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Theodore	Middle Initial:	*Last Name:	Bania			
Earned Degree(s): <b>M.D.</b>	Title or Po	sition: Chairperson, Director, PP	, IRB/Associate HS			
Mailing Address (if different from the Mailing Address in section 3): One Gustave L. Levy Place Box 1081						
City: New York	State/Province:	NEW YORK	Zip/Postal Code: 10029			
Country (if outside the U.S *Phone: 212 824-8200	.): FAX: <b>212 876-</b>	<b>6789</b> *E-Mail:	Theodore.Bania@mssm.edu			

I.	IRB Roster Form:	Completion of the	IRB Ros	ster Form i	s required if y	our IRB is designated
on	a Federalwide assu	rance submitted to	OHRP.	Otherwise	, it is optional	

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Bania, Theodore	М	M.D.	S	Emergency Medicine	Y	
Starr, Jay	Μ	JD, MBA	Ν	Business	Ν	
Mitropoulou, Vivian	F	MA	S	Psychiatry, research design	Y	
Weintraub, Andrea	F	MD	S	Pediatrics, Neonatology	Y	representative: children, pregnant women
Delman, Bradley	Μ	MD	S	Radiology	Y	
Tosi, Michael	М	MD	S	Pediatrics, Infectious Diseases	Y	representative: children
McBride, Russell	М	Ph.D, MPH	S	Epidemiology	Y	experience with economically disadvantaged persons
Cohen, Ivy	F	BS	S	Pharmacy	Y	
Rothschild, Barbara	F	BA	Ν	Administration	Ν	
Sachmechi, Issac	Μ	MD	S	Endocrinology	Y	
Ungaro, Ryan	Μ	MD	S	Gastroenterology	Y	
Krone, Beth	F	PhD	S	Psychiatry	Y	
Khalil, Susan	F	MD	S	Obstetrics	Y	Representative: Pregnant women; Experience with economically disadvantaged
Lema, Gareth	Μ	MD	S	Ophthalmology	Y	
Shah, Lesha	F	MD	S	Psychiatry	Y	Representative: children, cognitively impaired
Cohen, Bevin	F	PhD, MS, MPH	S	Nursing	Y	epidemiologist
Richard, Shambavi	F	MD	S	Oncology	Y	
Pintova, Sofya	F	MD	S	Oncology	Y	
Naib, Tara	F	MD, MPH	S	Cardiology	Y	

#### **Alternative Members**

#### NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

- Yes = The IRB member is affiliated with the institution or organization operating the IRB.
- No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00004094

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[ ] No, this is a new IRB registration.

- B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):
   Mount Sinai School of Medicine IRB #2
- C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

# **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

# 150 E. 42nd Street, 10th Floor, Ste. 10B

	*City: New York *Country (if outside the U.S.): *Phone: 212 824-8200	*State/Province: *FAX: <b>212 876-6</b>			ip/Postal Code: jake.sutera@n	
D.	Approximate number of full t administrative activities:	ime equivalent posi	itions devote	ed to the IR	B's	17
E.	Approximate number of all ac registration, an active protocol review or continuing review at procedure during the preceding	is any protocol for a convened meeting	which the l	IRB conduc	ted an initial	1800
F.	Approximate number of activ National Institutes of Health, O purposes of completing this re the IRB conducted an initial re	Centers for Disease gistration, an active	Control and e protocol is	d Prevention any protoc	n, etc.) (for ol for which	150

under an expedited review procedure during the preceding 12 months):

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

360

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Glenn	Middle Initial:	*Last Name:	Martin
Earned Degree(s): <b>MD</b>	Title or Position	: Chairperson, Research	IRB/Assoc.Dean for

Mailing Address (if different from the Mailing Address in section 3):

## 1 Gustave L. Levy Place Box 1081

City:	New York	State/Pr	ovince:	NEW YO	RK	Zip/Postal Code:	10029
Countr	y (if outside the U.S.):						
*Phone	e: 212 824-8200	FAX:	212 876	-6789	*E-Mail:	glenn.martin@	mssm.edu

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Martin, Glenn	М	MD	S	Psychiatry, HIPAA, Medical Informatics	Y	expertise in cognitive impairment
Jennex, Lori	F	MA	S	Anthropology	Y	
Guidry, John	М	Ph.D.	S	Political science, CBPR, HIV	Ν	experience with children; disadvantaged populations; disaster preparedness; alt
Sutera, Jake	М	BA, CIP	Ν	Human Subjects Protections	Y	
Bressler, Toby	F	PhD, RN, OCN	S	Oncology Nursing	Y	nursing
			Alternativ	ve Members		
Kucera, Jennifer	F	MS, CIP	S	Human Subjects Protections	Y	
Richmond, Megan	F	BS, CIP	Ν	Human Subjects Protections	Y	Alternate for any non-scientific member of any board
Roman, Cyndi	F	BA, CIP	Ν	Human Subjects Protection	Y	Alternate for any non-scientific member of any board
Datko, Joseph	М	MS, CIP	S	Human Subjects Protection	Y	

#### **NOTES:**

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00005471

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[ ] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

# Mount Sinai School of Medicine IRB #3 - Board A

C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

# **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

# 150 E. 42nd Street, 10th Floor, Ste. 10B

	*City: New York *Country (if outside the U.S.): *Phone: 212 824-8200	*State/Province: NE *FAX: 212 867-6789		ip/Postal Code: <b>10029</b> jake.sutera@mssm.edu		
D.	Approximate number of full ti administrative activities:	me equivalent position	ns devoted to the IR	RB's	17	
E.	E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):					
F.	Approximate number of active National Institutes of Health, C purposes of completing this reg the IRB conducted an initial re- under an expedited review proc	Centers for Disease Co gistration, an active pr view or continuing rev	ntrol and Prevention otocol is any protoc view at a convened	n, etc.) (for col for which	150	

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

*‡*i) Approximate number of active protocols involving FDA-regulated products:

360

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Glenn	Middle Initial:	*Last Name:	Martin		
Earned Degree(s): <b>MD</b>	Title or Pos	ition: IRB Chairpe Research	rson; Associate Dean		
Mailing Address (if different from the Mailing Address in section 3): Institutional Review Board 1 Gustave L Levy Box 1081					
City: New York	State/Province:	NEW YORK	Zip/Postal Code: 10029		
Country (if outside the U.S.):					
*Phone: <b>212 824-8200</b>	FAX: 212 876-6	<b>*E-Mail</b> :	glenn.martin@mssm.edu		

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Martin, Glenn	М	MD	S	Psychiatry, Medical Informatics, HIPAA	Y	expertise in cognitive impairment
Reich, Lawrence	М	MD, Ph.D	S	Internal Medicine, Primary Care	Y	
Sacks, Henry	М	MD, PhD	S	Community Medicine	Y	
Jandorf, Lina	F	MA	S	Sociology	Y	experience with economically disadvantaged persons,community outreach
Manasia, Anthony	М	MD	S	Critical Care	Y	pregnant women, cognitively impaired, disabled individuals, critically ill
Sicherer, Scott	М	MD	S	Pediatrics	Y	representative: Children
Kremyanskaya, Marina	F	MD	S	oncology	Y	
Jean, Raymonde	F	MD	S	Medicine - Pulmonary	Y	
Royal, Dorian	F	DNP, ACNP-BC	S	Nursing	Y	children rep
Cravedi, Paolo	М	MD, PhD	S	Nephrology, Transplant	Y	
Bressler, Toby	F	PhD, RN, OCN	S	Oncology, Nursing	Y	
Zimmerman, Richard	Μ	JD	Ν	Legal	Ν	
Marron, Thomas	Μ	MD, PhD	S	Oncology	Y	
Hirten, Robert	Μ	MD	S	Gastroenterology	Y	
Hirsch, Fred	Μ	MD, PhD	S	Oncology	Y	
Craven, Mary-Abigail	F	MD	S	Ophthalmology	Y	
Mashni, Susan	F	BS, PharmD	S	Pharmacy	Y	
Ambrosia, Todd	М	DNP, MSN	S	Nursing	Y	
			Alternativ	ve Members		
Eshikena, Marilyn	F	MS, CIP	S	Human Subjects Protections	Y	alt for any scientific member on any board

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

**NOTES:** 

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

- Yes = The IRB member is affiliated with the institution or organization operating the IRB.
- No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: IRB00005472

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[ ] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

### Mount Sinai School of Medicine IRB #4 - Board C

C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

### **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

# 150 E. 42nd Street, 10th Floor, Ste. 10B

	*City: New York *Country (if outside the U.S.):				p/Postal Code:	
	*Phone: <b>212 824-8200</b>	*FAX: 212 876-0	6789	*E-Mail:	jake.sutera@n	nssm.edu
D.	Approximate number of full ti administrative activities:	ime equivalent pos	sitions devot	ed to the IR	B's	17
E.	<ul> <li>E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review</li> <li>1800 procedure during the preceding 12 months):</li> </ul>					
F.	Approximate number of active National Institutes of Health, C purposes of completing this reg the IRB conducted an initial re under an expedited review pro-	Centers for Disease gistration, an activ eview or continuing	e Control and e protocol is g review at a	d Prevention any protoc convened	n, etc.) (for ol for which	150

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

*‡*i) Approximate number of active protocols involving FDA-regulated products:

360

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Glenn	Middle Initial:	*Last Name:	Martin
Earned Degree(s): <b>MD</b>	Title or Position	: Chair, IRB; A Research	ssociate Dean for

Mailing Address (if different from the Mailing Address in section 3): IRB, 1 Gustave L Levy Place Box 1081

City:	New York	State/Pr	ovince:	NEW YOR	RK	Zip/Postal Code:	10029
Country	v (if outside the U.S.):						
*Phone:	: 212 824-8200	FAX:	212 876	-6789	*E-Mail:	glenn.martin@1	mssm.edu

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Martin, Glenn	М	MD	S	Psychiatry, HIPAA, Medical Informatics	Y	expertise in cognitive impairment
Vezina, Maria	F	EdD,RN	S	Nursing Education	Y	
Ernst, Carrie	F	MD	S	Psychiatry	Y	pregnant women, diminished capacity
Factor, Stephanie	F	MD, MPH	S	Epi Research with children, infectious d	Y	rep: children
Shao, Theresa	F	MD	S	Oncology	Y	
Mack, Theresa	F	MD	S	Medicine - Infectious Diseases	Y	alt any scientific member any board
Kornberg, Robert	М	MD	S	Medicine - Cardiology	Y	alt any scientific member any board
Strauss, Neil	М	none	Ν	computing systems, engineering	Ν	
Dunn, Dallas	Μ	MD	S	Infectious Diseases	Y	
Blum, Ronald	Μ	MD	S	Oncology	Y	
Lin, Jun	Μ	MD, PhD	S	Ophthalmology	Y	
Argiriadi, Pamela	F	MD	S	Radiology	Y	
Mahoney, Christine	F	DNP, RN	S	Nursing	Y	
Shariat, Ali	М	MD, MS	S	Anesthesiology	Y	
			Alternativ	ve Members		
Lindeman, Max	М	MSW, PhD	S	Social Work	Ν	prisoner rep

#### NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: **IRB00005473** 

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[] No, this is a new IRB registration.

B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):

# Mount Sinai School of Medicine IRB #5 - Board D

C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

# **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

### 150 E. 42nd Street, 10th Floor, Ste. 10B

	*Country (if outside the U.S.):	*State/Province: *FAX: <b>212 876-(</b>			p/Postal Code: jake.sutera@n		
D.	Approximate number of full tin administrative activities:	ne equivalent pos	sitions devot	ed to the IR	B's	17	
E.							)
F.	Approximate number of active National Institutes of Health, Ce purposes of completing this regist the IRB conducted an initial rev under an expedited review proce	enters for Disease istration, an activ view or continuing	e Control and e protocol is g review at a	d Prevention any protoc	n, etc.) (for ol for which	150	

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

360

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Ilene	Middle Initial:	*Last Name:	Wilets			
Earned Degree(s): <b>PhD</b>	Title or Posi	tion: Chair, IRB				
Mailing Address (if different from the Mailing Address in section 3): 1 Gustave L. Levy Place Box 1081						
City: New York	State/Province: N	EW YORK	Zip/Postal Code:	10029		
Country (if outside the U.S.):						
*Phone: <b>212 824-8200</b>	FAX: 212 876-67	<b>*E-Mai</b>	: ilene.wilets@m	ssm.edu		

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Wilets, Ilene	F	PhD	S	<b>Research Ethics</b>	Y	
Mitropoulou, Vivian	F	MA	S	Psychiatry	Y	
Sung, Max	М	MD	S	Gene Therapy, Molecular Medicine, Oncolo	Y	
Beckman, Fern	F	MBE	S	Monitoring clinical trials, ethics	Ν	
DeLaet, David	М	MD,MPH	S	Pediatrics, Internal Medicine	Y	experience with children, pregnant women,cognitively impaired, economically disa
Gomez, Jorge	Μ	MD	S	Oncology	Y	
Bania, Theodore	М	MD	S	Emergency Medicine	Y	
Katz, Daniel	Μ	MD	S	Anesthesiology	Y	
Altman, Deena	F	MD	S	Infectious Diseases, Genetics	Y	
Cowan, Ethan	М	MD, MS	S	Emergency Medicine	Y	
Ben-Zacharia, Aliza	F	PhD	S	Nursing	Y	
Little, Lynn	F	BA	Ν	Community	Ν	
Nowlin, Sarah	F	PhD, MSN, BSN	S	Nursing	Y	
Appel, Jacob	М	MD, MPH, JD	S	Psychiatry	Y	experience with cognitively impaired
Porras, Christian	М	BS	S	Student	Y	

#### **Alternative Members**

#### NOTES:

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

Yes = The IRB member is affiliated with the institution or organization operating the IRB.

No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

[X] Yes. Provide the IRB registration number previously assigned to this IRB by OHRP: **IRB00008887** 

(This number was provided by OHRP the first time the IRB was registered with OHRP. If you do not know the IRB registration number, search for the IRB on the OHRP website at http://ohrp.cit.nih.gov/search/search.aspx or contact OHRP using the contact information at http://www.hhs.gov/ohrp/daqi-staff.html or by telephone at 1-866-447-4777)

[ ] No, this is a new IRB registration.

- B. Provide the IRB name, if any, used by the institution or organization (e.g., State University Behavioral IRB, University Healthcare Biomedical IRB, or XYZ Hospital IRB #1):
   Mount Sinai School of Medicine IRB #6
- C. Location of the IRB

\*Mailing Address (if different from the Mailing Address in section 3):

# **One Gustave L. Levy Place Box 1081**

\*Street Address of the IRB (if different from the Mailing Address of the IRB):

# 150 E. 42nd Street, 10th Floor, Ste. 10B

*City: New York	*State/Province: NEW Y	ORK *Zip/Postal Code: 10029
*Country (if outside the U.S.	):	
*Phone: <b>212 824-8200</b>	*FAX: 212 876-6789	*E-Mail: jake.sutera@mssm.edu

- D. Approximate number of full time equivalent positions devoted to the IRB's administrative activities:
- E. Approximate number of all active protocols (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):
- F. Approximate number of active protocols conducted or supported by HHS (e.g., the National Institutes of Health, Centers for Disease Control and Prevention, etc.) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or

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under an expedited review procedure during the preceding 12 months):

G. ‡For IRBs that review, or intend to review, protocols involving products regulated by the Food and Drug Administration (FDA) (for purposes of completing this registration, an active protocol is any protocol for which the IRB conducted an initial review or continuing review at a convened meeting or under an expedited review procedure during the preceding 12 months):

‡i) Approximate number of active protocols involving FDA-regulated products:

‡ii) Types of FDA-regulated products involved in FDA protocols include (check all that apply):

Χ	human drugs	food additives
Χ	medical devices	color additives
Χ	biological	other
		Specify

### H. IRB Chairperson

*First Name: Glenn	Middle Initial:	*Last Name:	Martin
Earned Degree(s): <b>MD</b>	Title or Position	: Chair, IRB; Assoc. Dean for Research	

Mailing Address (if different from the Mailing Address in section 3):

# 1 Gustave L. Levy Place Box 1081

City:	New York	State/Pr	rovince:	NEW YOF	RK	Zip/Postal Code:	10029
Country	y (if outside the U.S.):						
*Phone	: 212 824-8200	FAX:	212 876	-6789	*E-Mail:	glenn.martin@	mssm.edu

I. IRB Roster Form: Completion of the IRB Roster Form is required if your IRB is designated on a Federalwide assurance submitted to OHRP. Otherwise, it is optional.

Member Name (Last, First)	Sex M/F	Earned Degree(s)	Scientist (S) Non-scientist (N)	Primary Scientific or Non-Scientific Specialty	Affiliation with Institution(s) Y/N	Comments
Martin, Glenn	М	MD	S	Psychiatry, HIPAA, Medical Informatics	Y	cognitively impaired
Mayer, Thom	М	MD, FACEP, FAAP	S	Sports Medicine	Ν	NFL Players Association representative
Jennex, Lori	F	MA	S	Human Subject protections	Y	
Kucera, Jennifer	F	MS, CIP	S	Human Subjects Protection	Y	
Jake, Sutera	М	BA, CIP	Ν	Human Subjects Protections	Y	

**Alternative Members** 

#### **NOTES:**

Members whose training, background, and occupation would incline them to view scientific activities from the standpoint of someone within a behavioral or biomedical research discipline should be considered a scientist, while members whose training, background, and occupation would incline them to view research activities from a standpoint outside of any biomedical or behavioral scientific discipline should be considered a nonscientist. In addition, the IRB must have members with sufficient knowledge of the specific scientific discipline(s) relevant to the research that it reviews.

Affiliation: Please indicate whether or not each individual (or a member of that person's immediate family) is affiliated (other than as an IRB member) with the institution or organization operating the IRB.

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No = The individual is not affiliated with the institution or organization operating the IRB.

Alternate Members: An alternate member(s) may be designated, as needed, for a regular voting member(s). An alternate member may vote only when the regular voting member is not voting.

Public burden for this collection of information is estimated to average one hour for an initial IRB registration, and thirty minutes for updating or renewing the registration of a previously registered IRB. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: OS Reports Clearance Officer, Room 503, 200 Independence Avenue, SW., Washington, DC 20201. *Do not return the completed form to this address*.